

Guard *and* Evidence

Artificial intelligence (AI) that designs your controls and checks the work against your rules, and the record that proves it.

GenWA Guard

Acts on each item as it happens: it can block, challenge, flag for approval and report.

GenWA Evidence

Keeps the proof: what AI saw, produced and who approved it, ready as reports.

Guard acts. Evidence remembers. Together they close the loop.

Guard and Evidence use AI agents to design the systems, controls and process around your AI. They then check the work against the regulations that apply, your own policies and outside policies, such as customer and insurer requirements.

Anything that does not align is blocked, challenged or sent to a named person to approve. Evidence records each step and turns it into the reports a regulator, insurer or customer asks for. Use Evidence alone and you have records with nothing enforcing them. Use Guard alone and you have controls you cannot show. Used together, the harness both holds and proves.

Regulations

The laws and regulator expectations that apply to your sector.

Your policies

The rules you have already written, now checked rather than only filed.

Outside policies

Customer and insurer requirements that you are asked to meet.

WHAT A HARNESS IS

The controls wrapped around AI so it can do useful work safely.

Think of the harness on a horse or a climber. It does not slow the work down. It lets the work happen with something that holds.

In business terms, a harness decides what AI may see, do and say. It checks each output against your rules, sends anything doubtful to a named person, and keeps a record. It is not a single tool: it is the set of controls, checks and approvals around AI.

How a harness is built, run and put in place

Design

We work out where AI touches your systems, which policies and regulations apply, and where a person must approve. AI agents draft the controls and process, and your owners confirm them.

Use

Every day the harness checks documents, prompts, calls and messages against your rules. Your people see why, not just that.

Deployment

In your Amazon Web Services (AWS) or Microsoft Azure account, or hosted by GenWA on AWS as agreed. Personal data is redacted before approved outside model calls.

Four outputs, and two places we police.

Compliance report

A plain report of what aligned with your policies and the rules you selected, and what did not.

Challenge and contest

Staff and customers can question a flagged result. The challenge, the decision and the reason are kept.

Block

Where a rule says stop, Guard can block the action before it happens and tell the person why.

Authority-based sign-off

Consequential items go to the person whose authority covers them. No one else can approve.

Calls

We listen, guard and police against policies and regulations where a business uses Amazon Connect.

Customer communications

We analyse your customer messages and report on anything that does not align with your policies and the external rules and laws you select.

Controls apply to the AI you connect and route through GenWA. We do not claim to control every tool in your business.

ALONGSIDE THE WORK

Documents are produced as AI is used, then flagged to a person to approve.

When AI helps draft a document, Guard generates the supporting record alongside it: what was asked, what rules applied and what was produced. Anything consequential is flagged to the person with the authority to sign it off.

GENWA GUARD AND EVIDENCE

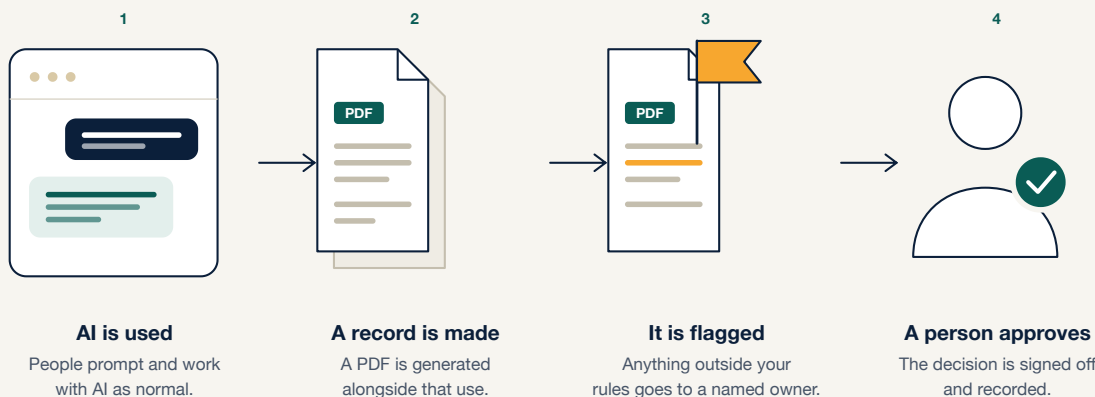


Illustration. Documents shown are examples only.

WHAT EVIDENCE PRODUCES

Six records, built as AI is used.

Log

A running record of what AI saw, produced and who approved it, held in your own cloud.

Evidence Packs

Ready reports for a period, a tender or a visit, assembled from the record rather than written afterwards.

Compliance Mapping

Your controls mapped to the frameworks and regulations you select.

Data-Flow Map

Where information goes when AI is used, and which approved models it reaches.

Control Matrix

Each rule, who owns it, and how it is checked.

Exception Log

Every block, challenge and sign-off, with the reason and the decision.

FROM ANALYSIS TO REPORT

Analyse, review, flag, report.

Guard reads the material, reviews it against the rules, flags what does not align, and reports to the person who owns it. Reports feed Evidence, so the same findings become the record you can show.

HOW GENWA GUARD WORKS



Illustration. Charts and documents shown are examples only.

FRAMEWORKS

Control-mapped, not certified.

We prepare the evidence; accredited bodies issue certification. This supports compliance and is not legal advice. Coverage depends on your sector and is agreed with you.

The standards, rules and regulators we design against.

ISO/IEC 42001, the international standard for managing AI

UK GDPR, UK data protection law

SOC 2, an independent security audit standard

Cyber Essentials, the UK government-backed security scheme

FCA Consumer Duty, the Financial Conduct Authority's customer outcomes rules

National Cyber Security Centre guidance

Finance	Financial Conduct Authority and Prudential Regulation Authority: Consumer Duty, the Senior Managers and Certification Regime, complaints and consumer credit rules, and model risk expectations for banks and insurers.
Data	UK GDPR and the Data (Use and Access) Act 2025: personal data, automated decisions and the right to contest them, and Information Commissioner's Office guidance on AI.
Legal and accountancy	Solicitors Regulation Authority, accountancy bodies and the Financial Reporting Council: client confidentiality, supervision of AI-assisted work, and accountability that stays with the firm.
Health and care	Care Quality Commission and the NHS Data Security and Protection Toolkit: special-category health data, inspection evidence, and clinical judgement that stays with people.
Retail and consumer	Card payment security, Competition and Markets Authority consumer law, Advertising Standards Authority codes, and marketing consent rules.
Technology and manufacturing	Cyber Essentials, ISO/IEC 42001 and SOC 2 evidence that customers and insurers now ask for, plus protection of source code and designs.

Control-mapped, not certified. We prepare the evidence; accredited bodies issue certification. This supports compliance and is not legal advice.

FOR SMALL BUSINESSES

Legal and compliance skills in your business, without a law-firm retainer.

A small business rarely has an in-house compliance team or the budget for a standing law-firm retainer. Guard brings that discipline in cost-effectively: your policies and the rules you select are checked as work happens, and your own people only see what needs a decision. Guard supports your compliance work and does not replace legal advice where you need it.

Use AI where it fits, and something else where it does not

AI fits when

- The work is reading, drafting, summarising or sorting varied language.
- A person can check the result quickly.
- A mistake is cheap to catch and fix.

Something else fits when

- The answer must be identical every time, so a rule or formula is safer.
- A database lookup or form check does the job.
- The decision is about credit, hiring or medical matters, where people decide.

WHO IT IS FOR

Three people who carry the risk, and are asked to prove it.

Chief risk officer

Sees where AI could breach a rule, what was blocked and what is waiting for approval, in one report. Shows how AI risk is controlled, with exceptions and approvals on the record.

Head of compliance

Gets the compliance report, the challenges and the sign-offs against the regulations that apply. Answers regulators, insurers and customers from a record built as work happened.

Operations director

Keeps calls, messages and documents moving, with fewer manual checks and clear owners for exceptions. Reports are generated rather than assembled.

WAYS OF WORKING WITH US

Advice, product or incubator, each shaped for Guard and Evidence.

Advice

We review your AI use against your policies and the rules that apply, and design the harness on paper first.

Product

Guard and Evidence run in your cloud account and check calls, messages and documents against the rules you select.

Incubator

We build a harness for a workflow you want to put AI into, with controls designed in from the start.

GenWA frame is a product: a central, knowledgeable control system.

NEXT STEP

See Guard and Evidence on your own policies.

Book a demo and we will walk through the harness using your rules, your sector and the AI you already use.

Where it runs: in your AWS or Azure account, or hosted by GenWA on AWS as agreed.

Book a demo: genwa.co.uk/contact.html

hello@genwa.co.uk | genwa.co.uk

Control-mapped, not certified. We prepare the evidence; accredited bodies issue certification. This supports compliance and is not legal advice.